

The National Science Foundation I/UCRC Program

A UIDP Webinar

March 29, 2018 • 1-2pm ET

Moderator:

Tony Boccanfuso, President, UIDP

Presenter:

André Marshall, Program Director, National Science Foundation



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Upcoming UIDP Webinar

The National Science Foundation Grant Opportunities for Academic Liaison with Industry (GOALI)

A UIDP Webinar

May 3rd, 2018 • 1 PM ET

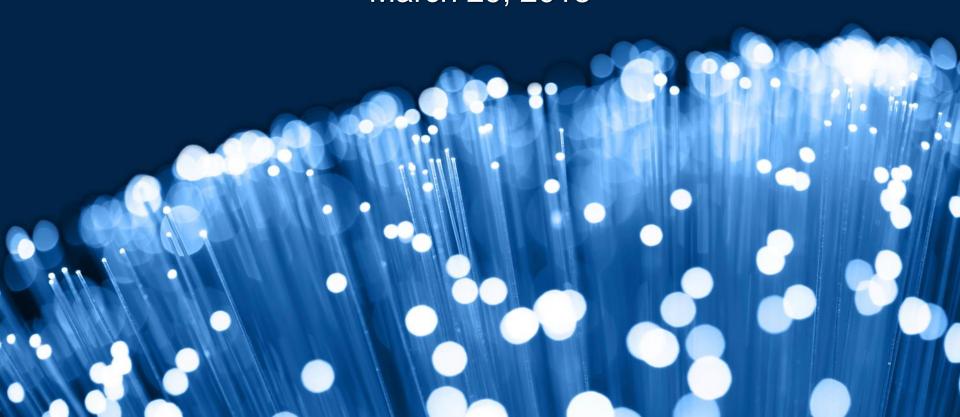
This webinar discusses the NSF GOALI Proposal and how it can be a powerful vehicle to initiate academic-industry collaboration in any field in science and engineering supported by NSF.

Presenter: Prakash G. Balan, Ph.D, Program Director, NSF

More info at uidp.org or email info@uidp.net



Andre Marshall, awmarsha@nsf.gov
Program Director, National Science Foundation
March 29, 2018



Overview

- National Science Foundation
- IUCRC Program
- IUCRC Center



National Science Foundation

Vision | Impact

NSF envisions a nation that creates and exploits new concepts in science and engineering and provides global leadership in research and education

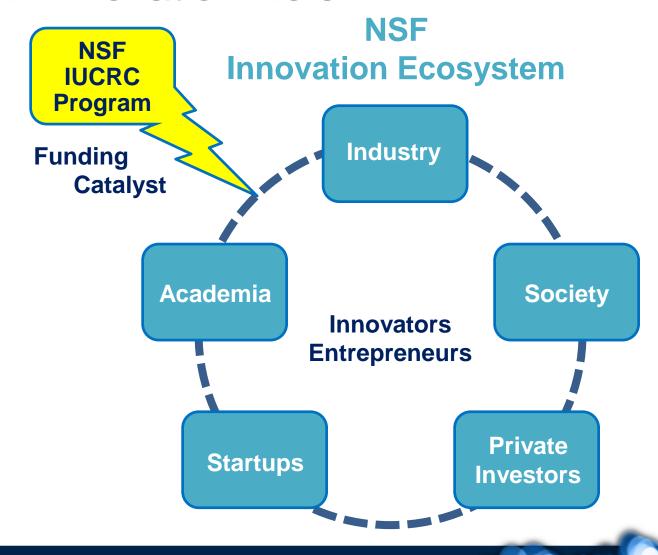
- ~ \$7.5B Budget
- 220+ Nobel Laureates supported
- Overall: 350,000 researchers, postdoctoral fellows, trainees, teachers, and students supported
- ~ 400 startups/small businesses funded each year





National Science Foundation

NSF Innovation Role





National Science Foundation

NSF Innovation Role



The IUCRC program provides seed money to multiple universities to work together and conduct pre-competitive IP R&D that is of interest to companies who pay a fee to participate.

Startups

Investors



IUCRC Program

Objective

"Determine effective ways of stimulating non-Federal Investment in R&D and of Improving the application of R&D results."

President's message to the Congress on S&T, March 16, 1972

45 Years of Building Research and Innovation Capacity

- First Center Proposals Received in 1972
- First "Experimental" Awards Made in 1973

Cooperatively Defined and Shared, Sector Precompetitive Research



IUCRC Program

Approach

MRSEC: Materials Research Science Private and Fraincering Centers ccl: Centers for Chemical Innovation **Funds** Government **Translational GOALI:** Grant Opportunities for Investment Research **Academic Liaison with Industry** Resources Invested **ERC: Engineering Research Centers** Valley of **IUCRC:** Industry University Cooperative Death **Research Centers** PFI: Partnerships for Innovation MRSEC, **I-Corps:** Innovation Corps (Startups) I-Corps IUCRC SBIR/STTR: Small Business Research SBIR **Program** PFI **Early Stage** Commercial **Product Proof-of-Concept** Research **Technology Development** -ization Development

NSF Fundamental Programs

STC: Science and Technology Centers

Technology Translation: A Long Road...



IUCRC Program

Portfolio: Universities

75

Active Centers

225

Research sites

7

International sites

876

Unique members

1:42

\$1 in member contributions leverage \$42 additional dollars in value

1,586

Center trained students hired by members (2006 – 2015)



IUCRC Program NSF Innovation Role

NSF IUCRC Environment

- NSF Proposal Merit Review and Center Oversight
- NSF Award Seed Funding Support
- Industry | University Center Franchise (Operational Framework & Cooperative Agreement)
- Institutional Knowledge (45 years at NSF)
- Long-term Industry | University Relationships
- NSF Award Supplemental Funding



Focus

An IUCRC is a partnership enabling sustained research cooperation among industry, universities, and government.

Centers bring together:

(1) IUCRC Industry Members

consisting of companies, state/federal/local government, and non-profits,

(2) IUCRC Academic Institutions (Sites)

consisting of faculty and students from different academic institutions



Focus

An IUCRC focuses on cutting-edge pre-competitive fundamental research in science, engineering, technology area(s) of interest to industry that can drive innovation and the U.S. economy.

Members guide the direction of Center research through active involvement and mentoring.



14

Organization

Use-inspired, pre-competitive research portfolio that is cooperatively defined and funded on the basis of shared value

Industry Advisory Board (IAB)



Center Sites - Universities



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Industry Advisory Board (IAB)

90% industry funds for research



Investment of Indirect costs

Center Sites - Universities



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90% industry funds for research

Shared Project Portfolio

- Cooperatively defined, selected
 Governed by NSF IUCRC Agreement
 - Royalty free nonexclusive access to IP by members

Pooled Member \$



Investment of Indirect costs

Center Sites - Universities



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Investment of Indirect costs

Addresses precompetitive needs shared by the IAB

Leverages and builds on university strengths

Center Sites - Universities



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Investment of Indirect costs

Addresses

precompetitive

needs shared

by the IAB

Leverages and builds on university strengths

Value
Derived
From
Portfolio

Center Sites - Universities



Organization | Multiple Sites

NSF Base Funding seeds the launch and operation of your

Center indirect costs @ 10% Min \$150K of members fee AND Funding 3 Members Min \$200K **AND** Min \$250K 4 Members **AND 5 Members** \$150K ase m **Planning** Phase I Phase II Phase III

IUCRC Center Organization

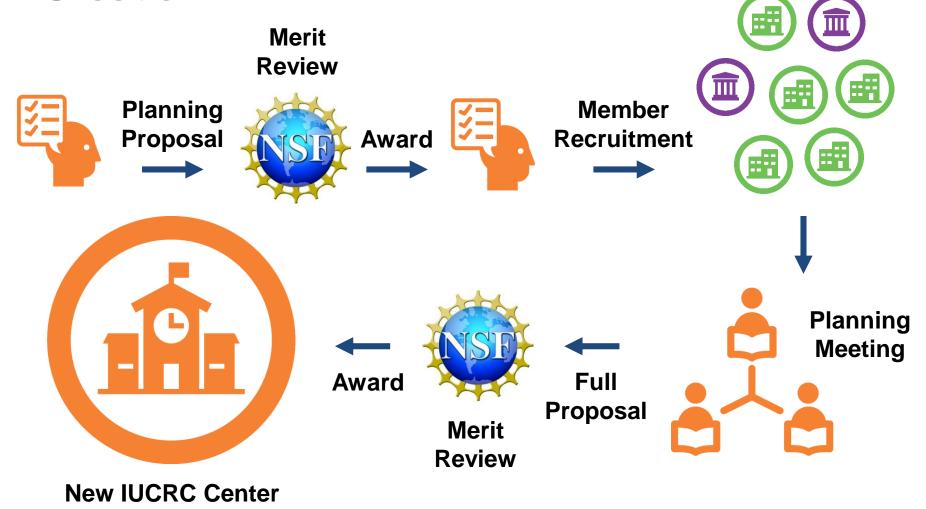
IUCRC Membership Agreement

- Parties to Agreement: Universities, IAB Members, and the IUCRC.
- Industrial Advisory Board: one rep from each company per membership.
- Annual Membership Fee Structure
- Patent rights held by university with royalty free, non-exclusive rights to Center members.
- Compliance with chapter 18 of title 35 of the US Code (Bayh-Dole Act)
- Companies wishing to exercise rights to a royalty-free license pay for patent application.
- If only one company seeks license it may obtain an exclusive fee-bearing license.
- Publication delay policy.

All Members sign the agreement upon Center Award ONE center, and ONE membership agreement form



Creation





IUCRC Center Creation

NSF Proposal Timeline for IUCRC (Planning and Phase I,II, and III)



Pre-proposal Submission Date

Applicants receive prompt detailed feedback. Reviewer and panel comments to NSF are shared with applicants verbatim



Value

Shared Value

 Cooperatively defined and managed, portfolio of industry-directed precompetitive research.

Research outcomes

University Value

IAB

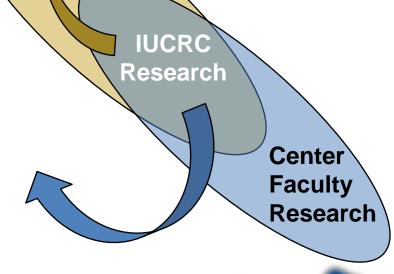
Research

Needs

- Understanding industry needs
- New research and education program dimensions
- Student training, recruitment, and placement
- Trusted relationships with industry
- Ready partners for translation of discoveries
- Means to achieve institutional mission.

Industry Value

- Cutting edge research projects
- Investment leveraging
- Sector networking, learning from industry peers and customers
- Access to intellectual property
- Pre-publication access to research
- Center researchers & facilities
- Access to university faculty and students





Additional NSF Support

(www.nsf.gov/eng/iip/iucrc/funding.jsp)

Value

Funding Supplements Research Experience for Undergraduates (REU)

Research Experience for Teachers (RET)

Veterans Research Supplement (VRS)

Non-Academic Research Internships for Grad. Students (INTERN)

IUCRC Clusters for Grand Challenges

Base NSF IUCRC Funding



Grant Opportunity for Academic Liaison with Industry (GOALI)

Partnerships For Innovation (PFI - TT, RP)

Major Research Instrumentation (MRI)

Innovation Ecosystem Network Federal Government Interagency Exchange of Funds (IAA)/ Military Interdepartmental Purchase Requests (MIPR) (Federal Agency | DoD Engagement)

SBIR / STTR (Small Business Engagement)

Innovation Corps (Startup Engagement)



Explore NSF's awards

http://www.nsf.gov/awardsearch/



Thank you!

To learn more about IUCRCs

http://www.iucrc.org/

Questions?!

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Thank you for participating

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